



2839 #7 BT
02-26-02

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/851,376	
	Filing Date	5/9/2001	
	First Named Inventor	David W. Sherrer	
	Group Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	7	Attorney Docket Number	ACT-131

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Patent #6,246,812
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Dan A. Steinberg, Reg. No. 45,129
Signature	
Date	11/13/2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 11/13/2001			
Typed or printed name	Diane L. Hughes		
Signature		Date	11/13/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED
JAN 14 2002
TC 2800 MAIL ROOM



Attorney Docket No: ACT-131

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/851,376
Filed: 5/9/2001
Title: Optical Waveguide Ferrule and Method of Making an Optical
Waveguide Ferrule
Applicant(s): David W Sherrer
Examiner:
Art Unit:

RECEIVED
JAN 14 2002
10C 2800 MAIL ROOM

THE COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, DC 20231

Sir:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Transmitted herewith is an information disclosure statement pursuant to
37 CFR 1.56.

A complete copy of US Patent 6,246,812 is attached.

Respectfully submitted,

Dan Steinberg
Reg. No. 45,129
HALEOS, Inc.
Blacksburg, VA, 24060
(540)-552-4610 ext 3801

Dated: 11/13/2001

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL
SERVICE AS FIRST CLASS MAIL ON THE DATE OF DEPOSIT INDICATED BELOW AND IS ADDRESSED TO:
COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, DC 20231.
DATE OF DEPOSIT 11/13/2001
SIGNED: Diane L. Hughes DATE 11/13/2001
DIANE L. HUGHES